



**State of Wisconsin**  
Department of Commerce  
(DUNS No. 80-903-6098)

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**Community Development Block  
Grant Recovery (CDBG-R) Program  
Substantial Amendment To  
2008 Annual Action Plan  
Amendment #1**

**For the State's Housing, Community  
and Economic Development Needs**

**June 2009  
Amended June 2010**

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**State of Wisconsin ■ Jim Doyle, Governor**  
**Department of Commerce ■ Aaron Olver, Secretary**



## INTRODUCTION

The Community Development Block Grant Recovery (CDBG-R) funds are authorized under Title XII of Division A of the American Recovery and Reinvestment Act (ARRA), 2009 (Public Law 111-005, approved February 17<sup>th</sup>, 2009) to enable local governments to undertake a wide range of activities intended to create suitable living environments, provide decent affordable housing, and create economic opportunities, primarily for persons of low and moderate income. CDBG-R funds in the amount of \$7,533,775 are available to the Wisconsin State Program through the U.S. Department of Housing and Urban Development (HUD).

This Substantial Amendment to Wisconsin's 2008 Annual Action Plan represents a diligent effort by the State to secure these CDBG-R federal funds to address critical community needs of the State's citizens.

The Department of Commerce is the lead agency for CDBG-R. The Commerce web address where CDBG-R Substantial Amendment materials are posted is <http://commerce.wi.gov/CD/>. The CDBG-R contact is:

**Camille Lore**

Division of Housing and Community Development  
201 W. Washington Ave., 5<sup>th</sup> Floor  
P.O. Box 7970  
Madison, WI 53707-7970  
Telephone: (608) 266-1019  
FAX: (608) 266-5381  
Email: [camille.lore@wi.gov](mailto:camille.lore@wi.gov)

NOTE: In June 2010 the CDBG-R Substantial Amendment was amended to eliminate one activity (withdrawn by community), add a new activity, and increase the budget for three CDBG-R Activities. See Sections B (page 5) and C (page 11), and Attachment A for details.

# THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): <u>State of Wisconsin</u>  Jurisdiction Web Address: <ul style="list-style-type: none"> <li>• <a href="http://commerce.wi.gov/CD/">http://commerce.wi.gov/CD/</a></li> </ul>	CDBG-R Contact Person: <b>Camille Lore</b> Address: 201 W. Washington Ave, 5 <sup>th</sup> Fl P.O. Box 7970 Madison, WI 53707-7970 Telephone: (608) 266-1019 Fax: (608) 266-5381 Email: <a href="mailto:camille.lore@wi.gov">camille.lore@wi.gov</a>

## ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. In its decision on projects to fund, the Department has diligently followed HUD’s strong recommendations to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings.

### ***A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES***

Attachment A, the CDBG-R Activities Spreadsheet, lists the activities the Department proposes to assist with CDBG-R funds. The Spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. The HUD template and format were used for this spreadsheet.

### ***B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)***

The activity summaries below follow the same order in the CDBG-R Activities Spreadsheet (Attachment A).

**Activity Name: Dental Clinic and Free Clinic – City of Dodgeville**

**Narrative:** This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Creating jobs and promoting economic recovery through construction of a new dental and healthcare facility.
- Assisting those most impacted by the recession by expanding dental and healthcare in an area with unemployment higher than the state average and median household incomes lower than the state average.
- Providing investment needed to increase long-term economic benefits by providing improved health and dental care which will help residents gain employment when the economic recovers.
- Minimizing or avoiding reductions in essential services by providing needed services to those who cannot afford or may have lost coverage as a result of unemployment.

- Fostering energy independence through the incorporation of energy-saving insulation, windows and mechanicals in the construction of the facility.

Jobs Created:

- Construction jobs: 12
- New Jobs Created by facility: 12

Additional Information: This project will promote

- energy conservation through the use of energy conserving materials in the construction of the facility.
- smart growth through its location on vacant space in the downtown area.
- green building technologies through the design of the facility.
- reduced pollution emissions by being within walking distance for many users.

Responsible Organization: City of Dodgeville. Contact information:

Wally Orchowski  
149 North Iowa Street  
Dodgeville, WI 53533  
Phone: 608/930-2329

Activity Name: **Library - Village of Necedah**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the construction of a new facility.
- Assisting those most impacted by the recession by providing a community facility that will provide resources for those seeking employment or expanding their knowledge and skills.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by providing an amenity that adds to the vitality of the community and makes it an attractive place to live and do business.
- Minimizing or avoiding reductions in essential services by providing an expanded facility that meets an increasing amount of usage.

Jobs Created:

- Construction jobs: 24
- New Jobs Created by facility: 1

Additional Information: This project will promote

- energy conservation through the use of energy conserving materials in the construction of the facility.
- smart growth by building in a central location in the community.
- green building technologies incorporated in the design of the facility.
- reduced pollution emissions by being centrally located.

Responsible Organization: The Village of Necedah. Contact information:

James Rattunde, Village President  
Village of Necedah  
101 Center Street  
Necedah, WI 54646  
608/565-2261

Activity Name: **Senior Citizen/Community Center - Village of Nekoosa**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the construction of the facility.
- Assisting those most impacted by the recession by providing services for seniors on limited incomes and a centrally located place for gatherings.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by providing an amenity for the community that preserves the vitality of an area hard hit by layoffs in the paper industry.
- Minimizing or avoiding reductions in essential services by centralizing services for seniors that allows for more efficient outreach by social service entities.

Jobs Created:

- Construction jobs: 25
- New Jobs Created by facility: 2

Additional Information: This project will promote

- energy conservation through the use of energy conserving construction materials.
- smart growth by building on a site already served by utilities
- green building technologies through design
- reduced pollution emissions by centralizing services for seniors thereby minimizing travel.

Responsible Organization: The City of Nekoosa. Contact information:

Mayor Gordon Freeman  
Village of Nekoosa  
951 Market Street  
Nekoosa, WI 54457  
Phone: 715/886-7878

Activity Name: **Milltown Adult Development Center - Polk County** (*Added Activity June 2010*)

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by:

- Promoting and creating jobs and promoting economic recovery by providing employment opportunities for disabled persons.
- Assisting those most impacted by the recession by providing employment opportunities to further develop work skills.
- Providing investment needed to increase economic efficiency allowing for greater independent living of developmentally disabled persons
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by providing a local workforce for processing production orders.
- Minimizing or avoiding reductions in essential services providing opportunities for handicapped individuals to be less reliant on support services thereby freeing up resources for those in greater need.
- Fostering energy independence through the construction of an energy efficient facility.

Jobs Created:

- Construction jobs: 10
- New Jobs created by facility: 30

Additional Information: This project will promote:

- Energy conservation through the use of energy conserving construction materials.
- Green building technologies being incorporated into the design of the facility.
- Reduced pollution emissions by centralizing a program for adult development.

Responsible Organization: The Village of Milltown. Contact information:

Diana Manning, MSW  
Polk County Adult Development Center  
101 150th Street  
Balsam Lake, WI 54810  
Phone: 715/485-8773

Activity Name: **Intergenerational Center - City of Plymouth**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the construction of the facility.
- Assisting those most impacted by the recession, particularly seniors and low and moderate income households that are unemployed or need affordable childcare.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by providing a facility that offers daycare and Head Start services.
- Minimizing or avoiding reductions in essential services by putting under one roof a wide range of services for low and moderate income persons and limited clientele.

Jobs Created:

- Construction jobs: 76
- New Jobs Created by facility: 33

Additional Information: This project will promote

- energy conservation through the use of energy conserving materials in construction.
- smart growth following a CDBG assisted plan that identifies community needs as well as the best location for the facility.
- green building technologies incorporated into the design of the facility.
- reduced pollution emissions by centralizing services and minimizing trips.

Responsible Organization: The City of Plymouth. Contact information:

Marsha Vollbrecht, President  
Plymouth Intergenerational Coalition, Ltd  
PO Box 58  
Plymouth, WI 53073  
Phone: 920/ 453-3906

**Activity Name: Fire Station Addition - City of Rhinelander**

**Narrative:** This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the construction of the facility.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits through the provision of responsive emergency services that will protect the lives and property of residences and businesses.
- Minimizing or avoiding reductions in essential services by providing a facility that can more efficiently accommodate existing services as well as enable expanded services and provide a building that can accommodate newer equipment which is much bigger than that built 25 years ago.

**Jobs Created:**

- Construction jobs: 16
- New Jobs Created by project: 11

**Additional Information:** This project will promote

- energy conservation through the construction of an energy efficient building.
- smart growth by adding on to an existing facility already served by municipal infrastructure.
- green building technologies incorporated into the design of the facility.
- reduced pollution emissions by expanding an existing facility and keeping it centrally located.

**Responsible Organization:** The City of Rhinelander. Contact information:

Mayor Richard Johns  
City of Rhinelander  
135 South Stevens Street  
Rhinelander, WI 54501  
Phone: 715/365-8607

**Activity Name: Lauri Jean Zach Center Renovation - Ashland County**

**Narrative:** This project meets the requirements of Title XII of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Creating jobs and promoting economic recovery through the rehabilitation of a building containing a senior center and workshop for developmentally disabled persons.
- Assisting those most impacted by the recession by repairing and making more energy efficient a facility that provides services to seniors, including meals and providing training in basic job skills to individuals faced with limited employment opportunities.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by providing an amenity that sustains the vitality of the community, provides needed services to limited clientele, conserves energy through a more efficient heating system and expands employment opportunities.
- Minimizing or avoiding reductions in essential services by providing needed services and adequate facilities to seniors on limited incomes and to the disabled having limited employment opportunities.
- Fostering energy independence through the incorporation of energy-saving insulation, windows and mechanicals in the rehabilitation of the facility.

**Jobs Created:**

- Construction jobs: 15
- New Jobs Created by facility: 4

Additional Information: This project will promote

- Energy conservation through the use of energy conserving construction materials and replacement of inefficient heating and lighting systems
- Smart growth by rehabbing an existing building already served by utilities
- Reduced pollution emissions by centralizing services for seniors and the disabled and making multiple use of a building
- Provides critical services in a highly distressed area

Responsible Organization: Ashland County. Contact Information:

Dale A. Kupczyk  
Ashland Area Development Corporation  
422 3<sup>rd</sup> Street West Suite 101  
Ashland, WI 54806  
Phone: 715/682-8344

Activity Name: **Library - Village of Webster**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the construction of the facility.
- Assisting those most impacted by the recession by providing a facility that can offer a wider range of resources that can assist area residents in job searching or career development.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits in terms of maintaining a service that is integral to the livelihood of a community.
- Minimizing or avoiding reductions in essential services by providing a facility that is more cost effective to operate with limited funds from the local municipal budget.

Jobs Created:

- Construction jobs: 13
- New Jobs Created by business: 1

Additional Information: This project will promote

- energy conservation through the construction of an energy efficient building.
- smart growth by maintaining the library in the Village.
- green building technologies through the design of the facility.
- reduced pollution emissions by preventing the need for residents to travel to another community having a bigger library with more resources.

Responsible Organization: The Village of Webster. Contact information:

Jeff Roberts, Mayor  
Village of Webster  
7505 Main St. W.  
Webster, WI 54893  
Phone: 715/866-4211

**Activity Name: Senior Center - Village of Westfield**

**Narrative:** This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximize job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the construction of the facility.
- Assisting those most impacted by the recession, particularly senior citizens on fixed incomes, by providing a place offering a variety of services under one roof.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by offering a variety of services under one roof making the provision of such services more cost effective.
- Minimizing or avoiding reductions in essential services that enable seniors to live meaningful and productive lives.

**Jobs Created:**

- Construction jobs: 22
- New Jobs Created by business: 1

**Additional Information:** This project will promote

- energy conservation through the use of energy efficient components in the construction of the facility.
- smart growth by building on a central site already served by utilities.
- green building technologies through the design of the facility.
- reduced pollution emissions by building in a central location within the community.

**Responsible Organization:** The Village of Westfield. Contact information:

Dean Alexander, Village President  
124 East Third Street  
Westfield, WI 53964  
Phone: 605/296-2363

**Activity Name: Downtown Revitalization - City of Blair**

**Narrative:** This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery by enhancing the business district making it a more appealing place to shop.
- Assisting those most impacted by the recession, particularly the area businesses that need help in maintaining business, by making the area more attractive for shoppers.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by retaining the vitality of the downtown area which is the heart of any community. A strong downtown business district helps maintain a vibrant community.
- Minimizing or avoiding reductions in essential services by upgrading infrastructure that can accommodate increased traffic and usage and thereby prevent system failures.

**Jobs Created:**

- Construction jobs: 9

**Additional Information:** This project will promote

- energy conservation by strengthening the downtown area as a place to shop and reduce the need or desire to travel elsewhere for shopping.

- smart growth by taking full advantage of the already existing downtown shopping district.
- reduced pollution emissions by enhancing the downtown shopping experience and thereby reducing the need or desire for area residents to travel and shop elsewhere.

Responsible Organization: The City of Blair. Contact information:

Lori Ladsten, Clerk  
 City Hall  
 122 S. Urberg Avenue  
 Blair, WI 64616  
 Phone: 608/989-2517

Activity Name: **Downtown Improvements - City of Chippewa Falls**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery by enhancing the appearance of the downtown area and thereby attracting more shoppers.
- Assisting those most impacted by the recession by making the downtown area attractive and appealing to attract additional shoppers to a business district already impacted by reduced consumer spending.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by investing in infrastructure improvements and eliminating slum and blighting influences that discourage additional investment in the downtown.
- Minimizing or avoiding reductions in essential services by helping finance costly redevelopment expenses.

Jobs Created:

- Construction jobs: 55

Additional Information: This project will promote

- energy conservation by promoting through improved appearance greater use of a local shopping district.
- smart growth by taking full advantage of the natural amenities of the south end of downtown and making them a part of the downtown shopping experience and thereby reducing the desire of retail oriented businesses to locate elsewhere.
- reduced pollution emissions by keeping shoppers in the city rather than travel to nearby communities.

Responsible Organization: The City of Chippewa Falls. Contact information:

Jayson Smith, Director of Planning  
 City Hall  
 30 West Central Street  
 Chippewa Falls, WI 54729  
 Phone: 715/726-2729

Activity Name: **Jeld-Wen Windows - Rusk County**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery by enabling a business to develop more efficient receiving and shipping through the relocation of an adjacent highway.

- Assisting those most impacted by the recession by helping create more efficiencies to ensure profitability during difficult economic times.
- Providing investment needed to increase economic efficiency through the relocation of a roadway that interferes with shipping.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits and allow for business to expand as product demand increases.
- Fostering energy independence by enabling a business that manufactures energy saving windows to improve its manufacturing process that will allow for its product to be more competitive in the market.

Jobs Created/Retained:

- Construction jobs: 27
- Jobs retained: 400

Additional Information: This project will promote

- energy conservation by enabling a energy efficient window manufacturer to compete more effectively and get more product in the market.
- smart growth by accommodating business expansion at an existing site.
- reduced pollution emissions by enabling the production of additional energy saving windows.

Responsible Organization: Rusk County. Contact information:

Andy Albarado, Director  
Rusk County Development Corporation  
311 Miner Avenue Ste. N133  
Ladysmith, WI 54848  
715/532-2257

Activity Name: **Con Agra/Swiss Miss - City of Menomonie**

Narrative: This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery by providing waste treatment facilities necessary to accommodate increased production.
- Assisting those most impacted by the recession by providing employment opportunities for the unemployed.
- Providing investment needed to increase economic efficiency of a business that will ensure its survival at that location.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits by being able to meet discharge needs of local businesses.

Jobs Created and Retained:

- Construction jobs: 5
- New Jobs Created by business: 30

Additional Information: This project will promote

- energy conservation through improved handling of wastewater.
- smart growth by meeting the infrastructure needs of a business at its already developed site.
- reduced pollution emissions through the more efficient handling of wastewater.

Responsible Organization: City of Menomonie. Contact information:

Lowell Prange, Administrator

City Hall  
800 Wilson Ave  
Menomonie, WI 54751  
Phone: 715/232-2180

**Activity Name: LEEDS Training Center - Village of Plain**

**Narrative:** This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by

- Preserving and creating jobs and promoting economic recovery through the training of craftsmen in more energy efficient practices.
- Assisting those most impacted by the recession by providing a facility that will training in state-of-the art construction of LEED designed buildings.
- Providing investment needed to increase economic efficiency by helping improve construction efficiencies and lowering energy costs of newly constructed facilities.
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits, particularly in the area of constructing more energy efficient buildings.
- Fostering energy independence through the training in the use of green build technologies.

**Jobs Created:**

- Construction jobs: 49
- New Jobs Created by business: 6

**Additional Information:** This project will promote

- energy conservation through the provision of a facility that will accommodate training in LEED construction techniques.
- smart growth through the placement of the facility accessible to interested organizations and businesses that are partners in the development.
- Green building technologies since this is one of the focuses of the facility.
- reduced pollution emissions through the promotion of green building technologies.

**Responsible Organization:** Village of Plain. Contact information:

Jason Straka, Project Engineer  
Strand Associates, Inc.  
910 Wingra Drive  
Madison, WI 53715

**Activity Name: Stoneridge LLC - County of Waushara**

**Narrative:** This project meets the requirements of Title X11 of Division A and Section 1602 of ARRA and maximizes job creation and economic benefit by:

- Preserving and creating jobs and promoting economic recovery through the creation of new jobs.
- Assisting those most impacted by the recession by making job opportunities available for low and moderate income persons.

**Jobs Created and Retained:**

- Jobs retained by company: 17
- Jobs created by company: 40

Additional Information: N/A

Responsible Organization: Waushara County Contact Information:

Waushara County  
c/o Bill Wheeler, Executive Director  
Tri-County Regional Economic Development Corporation  
126 S. Main Street  
PO Box 120  
Neshkoro, WI 54960  
Phone: 920/382-0963

Activity Name: **Administrative Costs - Department of Commerce**

As noted in Attachment A, the Department of Commerce anticipates that it will spend \$488,775 which is approximately 6.5% of the grant amount on administrative costs related to planning and managing the CDBG-R program. This is less than the 10% maximum allowed.

#### **Overall Low- and Moderate-Income Benefit**

As noted in Attachment A, the National Objectives of most of the Activities proposed for CDBG-R funding are primarily low- and moderate-income benefit. Not less than 70% of the total CDBG-R grant amount will fund projects that benefit low- and moderate-income people.

#### **Public Services Activities**

In compliance with the CDBG-R program requirements, the Department will not commit or expend more than 15% of the total CDBG-R grant amount on public services.

### ***C. PUBLIC COMMENT***

The official public examination and comment period for the proposed CDBG-R Substantial Amendment was June 16-23, 2009. Notification of the comment period and an Amendment summary was published in the Wisconsin State Journal, the official State newspaper, on June 16<sup>th</sup>

During the comment period, copies of the draft Amendment were available on the Commerce website at <http://commerce.wilgov/CD/> or via mail.

Commerce provided the draft to various individuals and organizations. No written comments that were received during the public comment period.

On June 7, 2010, the Substantial Amendment with modifications to the Section titled ***CDBG-R Information By Activity*** and ***Attachment A- CDBG-R Activity Data Spreadsheet*** was posted on the Department of Commerce website <http://www.commerce.wi.gov/CD> and a notification of a 7-day comment period and summary was published in the Wisconsin State Journal, the official State newspaper. The modifications included

- Removing the Village of Turtle Lake Library/Storm Shelter activity
- Adding the Village of Glidden Lauri Jean Zach Center renovation activity
- Increasing (\$250,000) funding to the City of Plymouth Intergenerational Center
- Increasing the funding to the Village of Milltown Adult Development Center, and
- Adjusting the State Administration allocation to reflect other activity changes.

The public examination and comment period for the modifications to the Substantial Amendment was June 7-14, 2010, with written, emailed, or faxed comments accepted until 5:00 PM on June 14, 2010. No comments were received.

# STATE CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The State will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of State.** The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Consultation with Local Governments.** The state certifies that, for community development activities, it engages or will engage in planning; that it provides or will provide technical assistance to local governments; and that it will not refuse to distribute funds on the basis of the particular eligible activity selected by the local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs  
It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a

serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

**2. Special Assessments.** The State will require units of general local government that receive CDBG-R funds to certify to the following:

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The State will require units of general local government that receive CDBG-R funds to certify that they have adopted and are enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(14) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(15) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(16) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(17) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(18) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an

appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(19) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

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Aaron Olver

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June 14, 2010

Date

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Secretary, WI Department of Commerce

Title

**Attachment A**  
CDBG-R  
Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Wisconsin		CDBG-R Formula Grant Amount: \$7,533,775					Date: 6/14/10
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
	Funds will be used to construct a non-profit dental and health clinic to serve the uninsured and individuals enrolled in Medicaid, Badger Care, and other low income clients.	03P	LMC	\$260,000	\$0	\$426,850	\$686,850
Library - Village of Necedah, Juneau Co.	Funds will be used to construct a library.	03E	LMA	\$610,000	\$0	\$700,000	\$1,310,000
Senior Citizen/Community Center - Village of Nekoosa, Wood Co.	Funds will be used to construct a senior/community center.	03E	LMC	\$510,000	\$0	\$900,000	\$1,410,000
Adult Development Center - Village of Milltown, Polk Co.	Funds will be used to construct a vocational building and greenhouses for Adult Development Center activities.	03B	LMC	\$360,000	\$0	\$679,320	\$1,039,320
Intergenerational Center - City of Plymouth, Sheboygan Co.	Funds will be used to construct a non-profit intergenerational center, which will house a senior center, food pantry, child day care and Head Start.	3	LMC	\$1,010,000	\$0	\$3,200,000	\$4,210,000
Fire Station Addition - City of Rhinelander, Oneida Co.	Funds will be used to construct a fire station addition to accommodate ambulance services.	03O	LMA	\$460,000	\$0	\$450,000	\$910,000
Senior Citizen/Developmentally Disabled Adult Center - Village of Glidden, Ashland Co.	Funds will be used to renovate the Lauri Jean Zach Center which houses a senior center and place for developmentally disabled adults to refurbish furniture and further education.	3	LMC	\$247,920	\$0	\$50,000	\$297,920
Library - Village of Webster, Burnett Co.	Funds will be used to construct a library.	03E	LMA	\$360,000	\$0	\$350,000	\$710,000
Senior Center - Village of Westfield, Marquette Co.	Funds will be used to construct a senior center.	03A	LMC	\$560,000	\$0	\$650,000	\$1,210,000
Downtown Revitalization - City of Blair, Trempealeau Co.	Funds will be used for downtown streetscape including sidewalks, streetlights, surface parking.	03L	SBA	\$260,000	\$0	\$250,000	\$510,000
Downtown Improvements - City of Chippewa Falls, Chippewa Co.	Funds will be used for downtown waterfront redevelopment infrastructure improvements; acquisition and demolition.	1	SBA	\$660,000	\$0	\$2,350,000	\$3,010,000
Jeld-Wen Windows - Village of Hawkins, Rusk Co.	Funds will be used to provide business park infrastructure for a manufacturing facility in support of retaining 400 jobs.	03K	LMJFI	\$360,000	\$0	\$1,150,000	\$1,510,000
Con Agra/Swiss Miss - City of Menomonie, Dunn Co.	Funds will be used for wastewater treatment upgrades for a food processing company in support of 30 new jobs.	17D	LMJFI	\$205,000	\$0	\$65,000	\$270,000
Leeds Training Center - City of Plain, Sauk Co.	Funds will be used to construct a LEEDS training center, focusing on green career skills training for at-risk youths from 5 surrounding school districts.	03D	LMC	\$1,010,000	\$0	\$1,700,000	\$2,710,000
Stoneridge LLC - City of Wautoma, Waushara Co.	Funds will be used to provide working capital to support Stoneridge, LLC's expansion of its wholesale business and the creation of 40 new jobs.	18A	LMJ	\$160,000	\$0	\$450,000	\$610,000
CDBG-R Administration	Administrative costs related to planning and managing the CDBG-R funds.	21A	Planning/Adm in	\$500,855	\$0	\$0	\$500,855
<b>Total</b>				<b>\$7,533,775</b>		<b>\$13,371,170</b>	<b>\$20,904,945</b>